

(12)

**EUROPEAN PATENT APPLICATION**

(21) Application number: 84303575.9

(51) Int. Cl.<sup>3</sup>: **A 61 K 9/50**  
**A 61 K 9/52**

(22) Date of filing: 25.05.84

(30) Priority: 01.06.83 CA 429460  
24.05.84

(43) Date of publication of application:  
12.12.84 Bulletin 84/50

(84) Designated Contracting States:  
AT BE CH DE FR GB IT LI LU NL SE

(71) Applicant: **CONNAUGHT LABORATORIES LIMITED**  
1755 Steeles Avenue West  
Willowdale Ontario M2N 5T8(CA)

(72) Inventor: **Goosen, Mattheus Florentius Albertus**  
18 Laxton Avenue  
Toronto Ontario M6K 1K9(CA)

(72) Inventor: **O'Shea, Geraldine Margaret**  
Apt. 4 372 Davenport Road  
Toronto Ontario M4B 1B4(CA)

(72) Inventor: **Sun, Anthony Mein-Fang**  
4 Barkwood Crescent  
Willowdale Ontario M2H 3G6(CA)

(74) Representative: **Burford, Anthony Frederick et al,**  
**W.H. Beck, Greener & Co. 7 Stone Buildings Lincoln's Inn**  
London WC2A 3SZ(GB)

(54) **Microencapsulation of living tissue and cells.**

(57) Living tissue or cells, for example, islets of Langerhans, are microencapsulated for implantation in the body for long term treatment of diabetes or other disease requiring organ transplantation. The microcapsules take the form of a biocompatible semi-permeable hydrogel membrane based on polylysine which permits the passage of materials and oxygen to the cells and metabolic products from the cells while retaining the cells encapsulated. The biocompatible semi-permeable membrane has an outer negatively-charged surface, which, combined with a controlled thickness of polylysine of molecular weight from 10,000 to 30,000 daltons, imparts to the microcapsules the ability to maintain long term effectiveness.

MICROENCAPSULATION OF LIVING TISSUE AND CELLS

The present invention is concerned with the microencapsulation of living tissue or individual cells.

5           Various attempts have been made to microencapsulate biologically-active macromolecules, tissue and individual cells so that they remain viable and in a protected state within a semi-permeable membrane which permits passage of low molecular weight  
10 substances, such as nutrients and oxygen, but not of high molecular weight substances, such as, proteins and cells. However, none of these attempts has been successful in providing microcapsules in which tissue or cells enclosed within the semi-permeable membrane  
15 are able to survive in an animal body for longer than 2 to 3 weeks, which severely limits the utility of the products in the treatment of diseases requiring organ transplantation, such as diabetes.

          In "Semipermeable Microcapsules" by T.M.S.  
20 Chang, Science, 146, 1964, 524 to 525, there is described the microencapsulation of erythrocyte hemolysate and urease in semi-permeable polyamide (nylon) membranes. These microcapsules did not survive for long when injected into the blood stream.  
25 Papers have described the preparation of semi-permeable microcapsules containing microbial cells and viable red blood cells, namely K. Mosbach and R. Mosbach, Acta Chem. Scand., 20, 1966, 2807 to 2812 and T.M.S. Chang, F.C. MacIntosh and S.G. Mason,  
30 "Semi-permeable Aqueous Microcapsules", Can. J. Physiol. and Pharmacology, 44, 1966, 115 to 128. The Chang et al article mentions for the first time the possibility of using injections of encapsulated cells for organ replacement therapy.

35           The next significant development was the use of calcium and aluminum alginate gels for the immobilization of microbial cells and enzymes. The cells were immobilized under extremely mild

conditions, thus maintaining their viability. This work was described in V. Hackel, J. Klein, R. Megret and F. Wagner, *Europ. J. Appl. Microbiol.*, 1, 1975, 291 to 296 and M. Kierstan and C. Bucke, "The  
5 Immobilization of Microbial Cells, Subcellular Organelles, and Enzymes in Calcium Alginate Gels", *Biotechnology and Bioengineering*, 19, 1977, 387 to 397.

Subsequently, viable tissue and cells were  
10 immobilized in alginate droplets coated with polylysine (F. Lim and R.D. Moss, "Microencapsulation of Living Cells and Tissues", *J. Pharm. Sci.* 70, 1981, 351 to 354). While the cells remained viable in culture for up to two months, no experiments are  
15 described to test the in-vivo biocompatibility of the polylysine membrane. At approximately the same time, there was reported for the first time, the use of microencapsulated islets to correct the diabetic state of diabetic animals, in F. Lim and A.M. Sun,  
20 "Microencapsulated Islets as Bioartificial Pancreas", *Science*, 210, 1980, 908 to 909. However, the microcapsules, consisting of an inner alginate core, followed by a polylysine coat and an outer polyethyleneimine membrane, were rejected by an animal  
25 body within 2 to 3 weeks of implantation due to the poor biocompatibility of the outer polyethyleneimine membrane.

Formation of the latter microcapsules also is described in U.S. Patent No. 4,352,883 F. Lim. As set  
30 forth therein, finely divided living tissue is suspended in an aqueous medium which contains sodium alginate, the suspension is formed into droplets of a size to envelop tissue, the droplets are gelled by conversion to calcium alginate to form discrete,  
35 shape-retaining temporary capsules, a permanent semi-permeable membrane of polyethyleneimine is formed about the temporary capsules, and the calcium alginate

gel is reliquified within the membrane by ion exchange. Example 3 of the patent describes injection of the microcapsules into diabetic rats.

In the Lim patent, it is indicated that, proteins  
5 or polypeptide cross-linkers, such as, polylysine, are readily attacked in vivo resulting in rapid destruction of the membrane whereas cross-linkers which are not readily digestible in mammalian bodies, e.g., polyethyleneimine, result in longer lasting  
10 membranes. Example 1 of the Lim patent discloses the use of polyethyleneimine and polylysine to form the semipermeable membrane and the article of Lim and Sun mentioned above shows that such microcapsules do not possess an in vivo life of more than two to three  
15 weeks.

The parent application Serial No. 953,413 of the application which lead to the Lim patent contains an Example 1 which uses polylysine as the sole membrane polymer. Although in vitro test results are given, no  
20 in vivo studies are specified. No reliquification step is specified in this Example.

The Lim patent discloses that it may be desirable to treat the microcapsules so as to tie up free amino groups which otherwise would impart to the capsules a  
25 tendency to clump, by immersing the capsules in a solution of sodium alginate. Example 1 of the patent and Example 1 of the parent application both disclose immersion of the microcapsules in alginic acid solution.

30 The teaching of the Lim patent with respect to the steps to be taken to achieve in vivo life is that one should avoid the use of polylysine, since it is readily attacked in vivo, and that one should instead employ polymers not readily digestible in mammalian  
35 bodies, namely polyethyleneimine. However, polyethyleneimine contains imino groups, which induce granuloma, resulting in an inflammatory response from

the body, which, in turn, destroys the polymer. Polyethyleneimine, therefore, is not biocompatible and the microcapsules are ineffective for organ replacement therapy for a period lasting longer than 2  
5 to 3 weeks, as is apparent from the results achieved by Lim and Sun referred to above, using such microcapsules, and also confirmed by Tze et al in Transplantation, 1982, Vol. 33, No. 5, pp 563 to 564.

U.S. Patent No. 4,352,883 mentions the  
10 possibility of using polylysine, a much more biocompatible material, instead of polyethyleneimine as the membrane. Polylysine is positively charged and it is well known that positively-charged surfaces are excellent substrates for cell growth. Cell growth on  
15 the surface of the microcapsules, such as would occur with a polylysine membrane, would transform the semipermeable capsular wall to an impermeable one, resulting in the death of the encapsulated tissue. Although the Lim patent discloses treatment of the  
20 polylysine capsules with sodium alginate to inhibit clumping, there remains the teaching that the exclusive use of polylysine does not produce a product having a long in vivo life.

It is apparent, therefore, that there is a need  
25 for the development of microcapsules which can be implanted into an animal body and be effective in the treatment of diseases requiring organ transplantation, such as, diabetes, for extended periods of time.

In accordance with the present invention, it has  
30 now surprisingly been found that, contrary to the teachings of Lim, living cells can be microencapsulated and the resulting microcapsules have long term in vivo activity by encapsulating the cells within a biocompatible semi-permeable membrane which  
35 has an outer surface of biocompatible negatively-charged material.



The present invention, therefore, provides biocompatible microcapsules suitable for implantation in a mammalian body comprising encapsulated viable tissue or individual cells within a biocompatible  
5 semi-permeable membrane having a biocompatible negatively-charged surface. While the present invention has particular application to the microencapsulation of living cells, any desired macromolecular core material may be provided in the  
10 form of microcapsules, such as, enzymes, immunoproteins and activated carbon particles. The macromolecular core material is surrounded by a biocompatible semi-permeable membrane which is permeable to small molecules for contact with the core  
15 material but is impermeable to the core material, and also to potentially deleterious large molecules.

In the present invention, the semi-permeable membrane is formed from polylysine. It is essential for the present invention for the polylysine to have a  
20 molecular weight of in the range of about 10,000 to about 30,000, preferably about 15,000 to about 25,000, particularly about 17,000 daltons. A polylysine polymer of molecular weight about 35,000, as specifically disclosed by Lim, produces a membrane  
25 which is too porous or permeable, such as may permit antibodies to pass therethrough. The lower molecular weight used herein produces a lesser porosity (lower molecular weight cut-off) but satisfactorily permits the ingress of nutrients into the core. A molecular  
30 weight below about 10,000 is unsatisfactory since the microcapsule wall cannot form. In the present invention, the polylysine membrane has a molecular weight cut-off below about 150,000 daltons, preferably below 67,000 daltons to allow for a suitable margin of  
35 safety should the permeability of the microcapsules change with time.

Another critical feature of the invention is the length of time for which reaction of the capsules with the polylysine occurs. It has been found that a reaction time of at least about 6 minutes and up to  
5 about 9 minutes is required to achieve a thickness of membrane which provides sufficient durability, in terms of structural strength and flexibility, to permit injection of the capsules into the body. Example 1 of the Lim parent application Serial No.  
10 953,413 discloses a reaction time of 2 minutes, which is totally inadequate to achieve capsules having the strength and flexibility to permit injection. The reaction time produces a membrane which is very fragile, as disclosed in the Tze et al article.

15 Another important facet of this invention is that the polylysine membrane is treated to form a negatively-charged outer surface. As discussed below, this is preferably achieved by treatment of the membrane with sodium alginate. Although Lim discloses  
20 a similar treatment, to prevent clumping, at the reaction times specified therein, the alginate is rapidly washed away during the liquification step by the citrate solution used therein, since there are an insufficient number of unreacted amino groups to form  
25 a permanent outer coating of alginate, such as occurs in the present invention.

The microcapsules which are provided in accordance with the present invention are biocompatible and, contrary to the teachings of Lim,  
30 can survive for extended periods of time, up to a year, in rats.

The applicants have found, contrary to the teachings of the art, that it is possible to provide microencapsulated islets of Langerhans in a  
35 semipermeable membrane based on polylysine which can survive for surprisingly long periods of time, by the

selection of specific critical parameters, as discussed above.

In the present invention, core material, such as, living tissue, individual cells or biologically-active materials, is encapsulated in a biocompatible semi-permeable membrane, in the form of a hydrogel. The material to be encapsulated is suspended in a physiologically-compatible medium containing a water-soluble substance which can be reversibly gelled to provide a temporary protective environment for the tissue. The medium is formed into droplets containing the tissue and gelled, for example, by changing conditions of temperature, pH or ionic environment, to form temporary capsules, preferably of substantially perfect spherical shape, so as to provide an overall improved physical strength when compared with microcapsules formed from non-spherical capsules. Thereafter, the temporary capsules which result are treated to form a membrane of controlled permeability about the shape-retaining temporary capsules. The semi-permeable nature of the membrane permits nutrients and oxygen to flow to the core material and metabolic products to flow therefrom while retaining the core material within the microcapsule. The biocompatible nature of the semi-permeable membrane allows the passage of such materials to and from the core to occur without inflammation or other adverse body response while the outer negatively-charged surface inhibits surfacial cell growth, so that the membrane remains semi-permeable and effective for extended periods of time, typically from three to six months or longer.

The temporary capsules may be formed from any non-toxic water-soluble substance that can be gelled to form a shape retaining mass by a change of conditions in the medium in which it is placed, and also comprises plural groups that are readily ionized



to form cationic groups. The presence of such groups enables surface layers of the capsule to cross-link to produce a permanent membrane when exposed to polymers containing multiple functionalities of the opposite  
5 charge.

Preferably, the temporary capsules are formed from a polysaccharide gum, which may be natural or synthetic, of a type that can be gelled to form a shape retaining mass by exposure to a change in  
10 conditions and can be permanently cross-linked or hardened by polymers containing amino groups, which can react with the acidic polysaccharide constituents. Most preferably, the gum is alkali metal alginate, specifically sodium alginate, although other  
15 water-soluble gums may be used.

The temporary capsules may be formed from sodium alginate by extruding droplets of aqueous sodium alginate solution into an aqueous calcium chloride solution. As noted above, it is preferred that the  
20 temporary capsules be substantially spherical and it has been found that substantially perfectly spherical temporary capsules can be formed by using an aqueous sodium alginate solution having a viscosity of at least about 30 centipoise. At viscosities below this  
25 critical lower limit, the temporary capsules have an irregular shape, which may be termed "spheroidal", as in the Lim U.S. Patent No. 4,352,883 and, in this regard, the drawing of that patent does not show a perfect sphere but rather a somewhat irregular shape.  
30 Perfectly spherical capsules are obtained over a wide range of viscosity of the sodium alginate solution, with an upper limit being dictated largely by the ability to extrude the solution into the hardening medium. Usually, the viscosity of the aqueous sodium  
35 alginate solution does not exceed about 1000 cps.

Formation of the permanent semi-permeable membrane about the temporary capsules preferably is

effected by ionic reaction between free acid groups in the surface layer of the gelled gum and biocompatible polymers containing amino groups, typically in a dilute aqueous solution of the selected polymer.

5       The cross-linking biocompatible polymer which is used is a polylysine having a molecular weight within a specific range. It is noted that polyethyleneimine and other imine-containing polymers are unsuitable for membrane formation in view of their non-biocompatible  
10 nature. As noted earlier, the molecular weight of the polylysine polymer must be controlled within a narrow range of about 10,000 to about 30,000, preferably about 17,000, to achieve the required porosity. The use of polylysine results in microcapsules having a  
15 positively-charged surface, which, as already noted, would be unsuitable for long term viability. As also noted above, it is important for long term in vivo life for the polylysine to be reacted for a period of time sufficient to develop a membrane with sufficient  
20 structural strength and flexibility to permit in vivo injection and sufficient quantity of biocompatible polymer to permit in vivo structural integrity to be retained. Usually, a reaction time of at least six minutes is required to achieve these results,  
25 generally up to about nine minutes. A reaction time of less than about 6 minutes produces a thin-walled and very fragile capsule while a reaction time of greater than about 9 minutes produces a thick-walled less flexible and more rigid capsule. A reaction time  
30 from about 6 to about 9 minutes produces a capsule with optimum strength and flexibility.

Surprisingly, the actual strength of the aqueous solution of polylysine used to react with the temporary capsules does not affect the capsule wall  
35 thickness, at concentration levels in excess of about 0.05 wt.%.

The semi-permeable membrane formed about the temporary capsules by the reaction with the polylysine next is treated with a non-toxic biocompatible water-soluble polymeric material which is capable of ionic reaction with free amino groups to form an outer negatively-charged coating about the membrane, typically by suspension of the microcapsules in an aqueous solution of the polymeric material. The material used to form the outer coating preferably is the same material as is used to form the temporary capsules, preferably a polysaccharide gum, more preferably an alkali metal alginate, such as, sodium alginate. Other biocompatible polymeric materials containing base-reactive groups, such as, polyvinyl alcohol and poly beta-hydroxy butyric acid, may be used to form the outer coating to the microcapsules.

Molecular weights of such polymeric materials typically vary from about  $10^4$  to about  $10^6$ .

The biocompatible water-soluble polymeric material containing amino-reactive groups reacts with the outer amino-groups of the semi-permeable membrane to form an outer coating. This outer coating shrouds the polylysine layer, although leaving intact the porosity of the semi-permeable membrane, and provides a negatively-charged surface. By virtue of the number of surface amino groups on the polylysine membrane, resulting from the prolonged reaction time utilized herein, the outer negatively-charged polymer coating resists degradation and removal, in vivo, so that the positively charged surfaces are not exposed to the body environment.

The treatment of the polylysine microcapsules with the biocompatible base-reactive material retains the overall biocompatible nature of the semi-permeable membrane and results in a negatively-charged outer surface which inhibits cell growth and, therefore, permits the semi-permeable membrane to retain its

permeability and hence effectiveness over an extended period of time.

Following formation of the microcapsules, reliquification of the suspending medium for the core material may be effected by re-establishing the conditions under which the material is liquid. This may be achieved by ion exchange to remove multivalent cation, for example, by immersion in phosphate buffered saline or citrate buffer. The reliquification step, though beneficial in decreasing diffusion resistance, is not essential for the provision of an effective product and may be omitted, since it has been shown that transplanted islets (rat to mouse) in microcapsules whose interiors have not been reliquified, are also effective in normalizing blood sugar levels of diabetic animals. Surprisingly, the calcium alginate gel core does not reliquify inside the body, since intact gel cores have been found in microcapsules recovered from diabetic animals up to one year after implantation.

The process of the invention may be used to encapsulate living tissue, multicellular fractions thereof or individual cells, for example, islets of Langerhans, liver cells and red blood cells, and other biologically-active material. The microcapsules which result may be implanted into an appropriate site within a mammalian body for the purpose of providing the body with the specialized physiological function of the tissue while the tissue remains viable. The implantation may be achieved by simple injection, so that surgical procedures are not required.

The core of the microcapsules contains the living tissue cells and an aqueous medium of nutrients sufficient to maintain the tissue and allow its normal metabolism. The cells are viable, physiologically active and capable of ongoing metabolism.



The biocompatible semi-permeable membrane encapsulating the core material consists of interpenetrating layers of ionically-interacted biocompatible materials. The overall wall thickness of the semi-permeable membrane usually is about 5  $\mu\text{m}$ . The microcapsules themselves have a diameter in the range of about 500 to about 2000  $\mu\text{m}$ , usually in the range of about 700 to about 1000  $\mu\text{m}$  for microcapsules containing islets of Langerhans as the core material.

The biocompatible semi-permeable membrane is in the form of a hydrogel and hence has an overall water content within the membrane structure of at least about 20 wt% which may vary up to about 95 wt% depending on the molecular weight of the amino acid.

The long-term effectiveness of the microcapsules of the invention are a complete contrast to the results predicted in the Lim patent. As noted earlier, Lim states that polylysine and similar proteins and polypeptides are rapidly destroyed in vivo and advocates the use of non readily-digestible materials, such as, polyethyleneimine. Such materials, however, lead to microcapsules which survive for only two to three weeks in vivo. It is entirely unexpected, therefore, on the basis of the teachings of the Lim patent, that one could increase the in vivo life dramatically, as achieved herein, by using polylysine of particular molecular weight range within the specific process conditions recited herein, with post-treatment of the polylysine membrane with sodium alginate.

The in-vivo survival time which has been observed for the products of this invention is a significant period of time and a considerable advance in the art. The potential for long term control of diabetes in humans using microencapsulated islets of Langerhans is demonstrated by the in vivo results obtained in rats,



although no clinical trials have yet been conducted involving humans.

In a particularly preferred embodiment of the invention, living cells are microencapsulated within a polylysine-alginate semi-permeable hydrogel. The cells are initially suspended uniformly in a sodium alginate solution in physiological saline. Where the microcapsules are to be used for the treatment of diabetes by controlling blood sugar in animals, including humans, the living cells take the form of islets of Langerhans from an animal pancreas.

Spherical droplets containing the cells are produced from an aqueous sodium alginate solution by a droplet generator, such as, syringe pump air jet extrusion, and are collected as gelled spheres in a hardening solution, such as, calcium chloride. The gelled spheres are coated with polylysine followed by an outer coating of sodium alginate. The microcapsules may then be suspended in isotonic sodium citrate or other convenient ion exchange medium to reliquify the alginate gel inside the microcapsule to restore the cells to a mobile state. As noted earlier, this step may be omitted, if desired.

The outer biochemically inert but biocompatible alginate surface is a negatively-charged hydrogel containing up to about 95% water. The low interfacial tension between the swollen gel surface and the aqueous biological environment minimizes protein interaction, otherwise a strong protein-polymer interaction may cause a severe inflammatory response. The biocompatibility of the hydrogel membrane leads to long term viability of the capsules when implanted. Polyethyleneimine-surfaced microcapsules do not appear to possess this property and hence are rejected by the body and produce a strong inflammatory response, which severely limits the useful life of the microcapsules within the body. The soft rubbery consistency of most

hydrogels may also contribute to their biocompatibility by decreasing frictional irritation to surrounding tissues.

5 The strength of the microcapsules may be increased by additional cross-linking, for example, using glutaraldehyde, prior to reliquification of the gel, if effected.

10 In the present invention, it is not essential that the biocompatible outer surface be composed of sodium alginate, but it is essential that the outer surface be biocompatible and negatively-charged. Binding occurs between the negatively-charged groups, usually hydroxyl or carboxyl groups, of the biocompatible outer surface material, and the  
15 positively-charged amino groups on the polylysine.

By the present invention, therefore, there have been obtained biocompatible microcapsules which have long term in-vivo life and hence are particularly suited to the implantation of living tissue which are  
20 capable of ongoing metabolism. The microcapsules of the invention, although particularly useful for in-vivo implantation, also may be put to a variety of in-vitro uses.

Such in-vitro uses include utilization of  
25 microencapsulated islet cells or other tissue cells to produce metabolic products, such as, in situ, in a culture medium and utilization of microencapsulated microbial cells as efficient bioreactors for the production of biochemicals and proteins, such as,  
30 ethanol and penicillin.

The invention is illustrated by the following Examples.

#### Example 1

35 This Example illustrates the microencapsulation of islets of Langerhans.

Cultured rat islets of Langerhans ( $2 \times 10^3$  islets in 0.2 ml medium) were suspended uniformly in 2 ml of

a 1.5% (w/w) sodium alginate solution (viscosity 51 cps) in physiological saline. Spherical droplets containing islets were produced by syringe pump/air jet extrusion through a 22-gauge needle and collected in 1.5% (w/w) calcium chloride solution. The supernatant was decanted and the gelled spherical alginate droplets, containing islets, were washed with dilute CHES (2-cyclohexylamino-ethane sulfonic acid) solution and 1.1% calcium chloride solution.

10 After aspirating off the supernatant, the gelled droplets were incubated for 6 minutes in 0.05% (w/w) polylysine having a molecular weight of 17,000.

The supernatant was decanted and the polylysine capsules were washed with dilute CHES, 1.1% calcium chloride solution and physiological saline. The washed polylysine capsules were incubated for 4 minutes in 30 ml of 0.03% sodium alginate to permit the formation of an outer alginate membrane on the initial polylysine membrane, by ionic interaction between the negatively charged alginate and the positively charged polylysine.

20 The resulting microcapsules were washed with saline, 0.05M citrate buffer for 6 minutes to reliquify the inner calcium alginate, and a final saline wash. The microcapsules were found to be perfectly spherical and each to contain from 1 to 2 viable islets. The microcapsules had diameters of  $700 \pm 50 \mu\text{m}$  and wall thicknesses of about  $5 \mu\text{m}$ . The microcapsules were suspended in nutrient medium at 30 37°C.

The experiment was repeated with islet cells from mouse, bovine and dog pancreas and similar microencapsulated products were formed.

#### Example 2

35 This Example illustrates the viability of the microencapsulated islets.

In perfusion experiments, the insulin secretion from the microencapsulated rat islets produced in accordance with the procedure of Example 1 was determined to be comparable with that from  
5 unencapsulated islets. When the glucose concentration was raised from 50 to 300 mg, there was a biphasic response of insulin release from both groups of islets and the insulin secretion increased.

The increase in the quantity of insulin in the  
10 presence of a high glucose concentration clearly demonstrated that the viability and functionality of the cells were retained throughout the process of microencapsulation.

After 2 months in culture at 37°C, the  
15 microencapsulated islets were observed to have remained morphologically and functionally intact.

#### Example 3

This Example illustrates the injection of microencapsulated islets into diabetic rats.

20 Diabetic rats with blood glucose levels in the range of 370 to 470 mg/dL were treated with approximately  $3 \times 10^3$  rat islets microencapsulated as set forth in Example 1 with the exception that polylysine having a molecular weight of 25,000 daltons  
25 was used. The microcapsules were introduced by injection into the peritoneal cavity using a canula fitted to a syringe.

Unencapsulated islets and islets microencapsulated in a polylysine-polyethyleneimine  
30 membrane, produced as described in U.S. Patent No. 4,352,883 (Lim), were used as controls. Blood glucose levels were assayed twice per week to determine the period of time for which the blood glucose level was lowered. The results obtained are set forth in the  
35 following Table I:

TABLE I

	Membrane Type	Number of Weeks Blood Glucose Level Lowered	
	None	1	(N=4)
5	Polylysine polyethyleneimine (Lim Patent)	2 to 3	(N=8)
	Polylysine alginate (Present invention)	13 to 52	(N=10)
10	As can be seen from the results of Table I, the islets enclosed in the biocompatible polylysine alginate membranes of the invention survived up to 52 weeks, as demonstrated by the normal fasting blood sugar levels in the diabetic rats. In contrast, the islets enclosed in the polylysine-polyethyleneimine capsular membranes of the Lim Patent showed survival times of less than 3 weeks.		
15			

Example 4

This Example shows the effect of multiple injections of microencapsulated islets.

20       The procedure of Example 3 was repeated except that, following a return to hyperglycemia (blood sugar concentration greater than 300 mg/dL), a second injection of polylysine alginate microencapsulated islets produced in accordance with the procedure of

25       Example 1, using polylysine of molecular weight of 25,000 daltons, normalized the blood sugar level of the animal for a longer period than the initial injections, allowing the blood sugar level of the diabetic rats to be controlled for longer than six

30       months with just two injections.

In contrast, five injections of polylysine-polyethyleneimine microencapsulated islets at 2 to 3 week intervals were barely able to control the blood glucose level of diabetic animals for three months

35       (N=8).



Example 5

This Example illustrates the injection of microencapsulated rat islets into diabetic mice.

5 The procedure of Example 3 was repeated except that fewer islets were used (1000 rat islets), diabetic mice were employed and the liquification step was omitted. No polylysine polyethyleneimine microcapsules were used as controls.

10 Blood sugar levels in the diabetic mice were controlled for more than two months with a single injection (I.P.), indicating that xenograft transplants (cross-species) are possible. In addition, these results show that reliquification of the alginate gel inside the capsules is not essential.

15 Example 6

This Example illustrates the viability of recovered microencapsulated transplanted islets.

20 Microencapsulated islets were recovered from some of the treated diabetic rats in Example 3 at 3, 5 and 12 months postimplantation. The majority of the microcapsules were still physically intact and contained viable insulin-secreting islets, as demonstrated by secretion of insulin from the recovered islets in culture in response to a high  
25 glucose concentration.

Example 7

This Example illustrates the microencapsulation of liver cells.

30 The procedure of Example 1 was repeated, except that fetal mouse liver cells were employed in place of islets. Capsules containing viable liver cells were obtained, as determined by trypan blue exclusion and a histological study. Each capsule was observed to contain several thousand liver cells.

35 Example 8

This Example illustrates the use of polyvinyl alcohol as the external surface of the microcapsules.

The procedure of Example 1 was repeated, except that 1.0% (w/w) solution of polyvinyl alcohol in phosphate buffered saline was used in place of the sodium alginate solution for formation of the outer  
5 membrane coating. The polyvinyl alcohol did not significantly alter the permeability of the capsular membrane.

Polyvinyl alcohol is known to be a biocompatible water-soluble polymer and has been used in many  
10 surgical applications, such as, thromboresistant coatings for artificial blood vessels, and hence the microcapsules produced in this Example are expected to exhibit similar blood sugar decreasing capability in diabetic animals to the microcapsules produced by the  
15 procedure of Example 1.

#### Example 9

This Example illustrates the use of polylactic acid as the external surface of the microcapsules.

The procedure of Example 1 was repeated, except  
20 that 0.1% (w/w) solution of polylactic acid in buffered saline was used in place of the sodium alginate solution for formation of the outer membrane coating. The polylactic acid was initially dissolved in dilute sodium hydroxide and then neutralized with  
25 hydrochloric acid. The ongoing viability of the islets in the microcapsules so produced was demonstrated with trypan blue staining. Polylactic acid is a biocompatible polymer that is currently in clinical use as suture material. It is expected,  
30 therefore, that the microcapsules produced in this Example will exhibit similar blood sugar decreasing capability in diabetic animals to the microcapsules produced by the procedure of Example 1.

#### Example 10

35 This Example illustrates the preparation of spherical calcium alginate droplets.

Sodium alginate solutions of varying concentrations (and hence viscosities) were extruded with a syringe pump/air jet (22 gauge needle) into a 1.5% (w/w) calcium chloride hardening solution and the resulting gel droplets were collected and their physical shape observed. The results are reproduced in the following Table II:

TABLE II

	Sodium Alginate % (w/w)	Viscosity (cps)	Fractions of Droplets which are Spherical (%)
10	1.5	51	100
	1.4	43	100
	1.3	36	100
15	1.2	30	100
	1.1	25	25
	1.0	20	0
	0.9	16	0
	0.7	11	0
20	0.3	4	0

While in all instances, the droplets could be broadly described as "spheroidal", it will be apparent from Table II that it is only at concentrations of sodium alginate solution of 1.2% w/w and above, i.e. viscosities of 30 cps and above, that perfect spheres are formed.

#### Example 11

This Example illustrates the preparation of microcapsules using parameters outside the critical range.

The procedures of Example 1 were repeated except that the islets were omitted and the molecular weight of the polylysine was varied. Polylysines with molecular weights outside the critical range of 10,000 to 30,000 daltons were employed. Microcapsules were

also prepared with polylysine of molecular weight within the critical range as references.

It was found that permanent microcapsules could not be prepared with polylysine of molecular weight of 4,000 daltons, the capsules dissolving in the liquification step. Microcapsules formed from polylysine of molecular weight 40,000, 90,000 and 400,000 were more fragile than the reference microcapsules produced with polylysine of molecular weight 17,000 and also had molecular weight cut-offs greater than 67,000 daltons since they were permeable to bovine serum albumin and haemoglobin.

The results obtained are summarized in the following Table III:

15

Table III

Polylysine Molecular Weight (daltons)	Micro- capsule Forma- tion	Capsule Wall thickness ( $\mu$ m)		Water Content %H <sub>2</sub> O	Molecular Weight Cut-off (daltons)	Capsule Durability <sup>(1)</sup>
		Dry	Wet			
4,000	No	-	-	-	-	-
17,000	Yes	-	-	-	<67,000	++++
25,000	Yes	0.86	5.23	83	-	++++
40,000	Yes	-	-	-	>67,000	+++
90,000	Yes	0.26	6.24	96	>67,000	++
400,000	Yes	-	5.38	-	>67,000	+

Note (1): ++++ strong and flexible → + very fragile

#### Example 12

This Example illustrates increasing the strength of the microcapsules.

The procedures of Examples 1, 8 and 9 were repeated, except that the microcapsules were placed in contact with 0.01 % w/w glutaraldehyde for less than 60 seconds, just after the polylysine coating step or just before the citrate washing step. The microcapsules which result are more difficult to break physically (using fine tweezers) and also are more difficult to dissociate in a heparin solution, when compared with uncross-linked material.

In summary of this disclosure, the present invention provides novel microcapsules of living tissue or cells which have long term biocompatibility and viability, and hence utility, in the treatment of  
5 diseases requiring organ transplantation, such as, diabetes. Modifications are possible within the scope of the invention.

10

15

20

25

30

35



CLAIMS

What we claim is:

1. A microcapsule having a diameter of about 500 to about 2000  $\mu$ m and suitable for implantation into an animal body, characterized by a core comprising one or more viable, healthy, physiologically-active tissue cells capable of ongoing metabolism; and a biocompatible semi-permeable membrane surrounding and enclosing the core, the semi-permeable membrane being permeable to tissue nutrients and metabolic products produced by the tissue but impermeable to immune system proteins, the semi-permeable membrane having a molecular weight cut-off of below about 150,000 daltons, the biocompatible membrane being a hydrogel formed by ionic reaction between a polylysine polymer having a molecular weight from about 10,000 to about 30,000 daltons and a polymeric material bearing negatively-charged groups to provide an outer negatively-charged surface, the polylysine polymer membrane having a durability sufficient to permit the microcapsules to be injected in the animal body and sufficient to maintain the microcapsules in an intact form and to permit the tissue to effect ongoing metabolism when injected into the animal body for a period of time exceeding three months.
2. The microcapsule claimed in claim 1, characterized in that the tissue cells are islets of Langerhans.
3. The microcapsule claimed in claim 1 or 2, characterized in that the negatively charged groups are carboxyl or hydroxyl groups.
4. The microcapsule claimed in claim 3, characterized in that the polymeric material bearing negatively charged groups is selected from the group consisting of alginate, polyvinyl alcohol or polylactic acid.

5. The microcapsule claimed in any one of claims 1 to 4, characterized in that the polylysine has a molecular weight of about 15,000 to about 25,000.

6. The microcapsule claimed in claim 5, characterized in that the polylysine has a molecular weight of about 17,000.

7. The microcapsule claimed in any one of claims 1 to 6 having a diameter of about 700 to about 1000  $\mu\text{m}$  and characterized in that the semi-permeable membrane has a thickness of about 5  $\mu\text{m}$ .

8. The microcapsule claimed in any one of claims 1 to 7, characterized in that the membrane has a molecular weight cut-off of about 67,000 daltons.

9. The microcapsule claimed in any one of claims 1 to 8, characterized in that the core also comprises an aqueous medium of nutrients sufficient to maintain the tissue cells and allow normal metabolism thereof.

10. A method of encapsulating a core material within a semi-permeable membrane to form microcapsules for implantation into an animal body, characterized by (a) placing the material in an aqueous solution of a water-soluble polymeric substance that can be reversibly gelled and which has free acid groups; (b) forming the solution into droplets; (c) gelling the droplets to produce discrete shape-retaining temporary capsules; (d) forming semi-permeable membranes about the temporary capsules by contact between the temporary capsules and a polymer containing free amino groups to cause ionic reaction with the acid groups in a surface layer of the capsule, the polymer containing free amino groups being polylysine having a molecular weight of about 10,000 to about 30,000 daltons, the contact being effected for a period of time sufficient to provide a polymer coating on the temporary capsule of sufficient durability to permit the microcapsules to be injected into the animal body; and (e) contacting the microcapsules formed in step (d) with a

biocompatible polymeric material which contains free negatively-charged groups capable of ionic reaction with the free amino groups in a surface layer of the microcapsule, thereby to form an outer coating of the  
5 biocompatible polymeric material on said microcapsules.

11. The method claimed in claim 10, characterized in that the core material comprises living tissue which is in finely-divided suspended form in the aqueous  
10 solution in step (a).

12. The method claimed in claim 11, characterized in that the living tissue comprises islets of Langerhans whereby the microcapsules may be used to control blood sugar levels in diabetic animal bodies into which the  
15 microcapsules are implanted.

13. The method claimed in any one of claims 10 to 12, characterized in that the reversibly-gellable water-soluble substance is a polysaccharide gum.

14. The method claimed in claim 13, characterized in  
20 that the gum is an alkali metal alginate.

15. The method claimed in claim 14, characterized in that the alkali metal alginate is sodium alginate and the viscosity of the aqueous solution of sodium alginate is at least sufficient to result in the  
25 formation of substantially spherical temporary capsules.

16. The method claimed in claim 15, characterized in that the aqueous sodium alginate solution has a viscosity of at least about 30 cps.

30 17. The method claimed in any one of claims 10 to 16, characterized in that the polylysine has a molecular weight of about 15,000 to about 25,000 daltons.

18. The method claimed in any one of claims 10 to 17, characterized in that the contact in step (d) is  
35 effected for a period of time sufficient to provide a polylysine layer of thickness about 5  $\mu$ m.

19. The method claimed in any of claims 10 to 18, characterized in that the contact in step (d) is effected by contact with an aqueous solution of polylysine at about 6 to about 9 minutes.

5 20. The method claimed in claim 19, characterized in that the aqueous solution of polylysine has a concentration of at least about 0.05 wt.%.

21. The method claimed in any one of claims 10 to 20, characterized in that the biocompatible  
10 negatively-charged polymeric material is a polyvinyl alcohol having free hydroxyl groups or a polylactic acid containing free acid groups.

22. The method claimed in any one of claims 10 to 21, characterized in that the reversibly-gellable  
15 water-soluble substance comprises sodium alginate and the biocompatible polymeric material comprises sodium alginate.

23. The method claimed in any one of claims 10 to 22, characterized by the further step of reliquifying the  
20 gel within the semi-permeable membrane.

25

30

35